

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Lundbeck Inc.

Application No./Patent No.: 5,380,936

Filed/Issue Date: January 10, 1995

Titled: PROCESS FOR PREPARING 4-AMINO-5-HEXENOIC ACID

Lundbeck Inc., a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest in;
2. ☐ an assignee of less than the entire right, title, and interest in
(The extent (by percentage) of its ownership interest is _____ %); or
3. ☐ the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made)

the patent application/patent identified above, by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy therefore is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

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☒ Additional documents in the chain of title are listed on a supplemental sheet(s).

☐ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Patrick J. Morris

Signature

19 NOVEMBER 2009

Date

Patrick J. Morris

Printed or Typed Name

VP-Legal Affairs & Gen. Coun

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner



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Total Assignments: 9

Patent #: 5380936

Issue Dt: 01/10/1995

Application #: 08184762

Filing Dt: 01/19/1994

Inventor: PATRICK CASARA

Title: NOVEL PROCESS FOR PREPARING 4-AMINO-5-HEXENOIC ACID

Assignment: 1

Reel/Frame: 020497/0674

Recorded: 02/13/2008

Pages: 2

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignor: CASARA, PATRICK

Exec Dt: 12/17/1991

Assignee: MERRELL DOW PHARMACEUTICALS INC.

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WASHINGTON, DC 20007

Assignment: 2

Reel/Frame: 014022/0527

Recorded: 10/03/2003

Pages: 4

Conveyance: CHANGE OF NAME (SEE DOCUMENT FOR DETAILS).

Assignor: MERRELL DOW PHARMACEUTICALS INC.

Exec Dt: 09/15/1995

Assignee: MERRELL PHARMACEUTICALS INC.

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Correspondent: AVENTIS PHARMACEUTICALS INC.

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BRIDGEWATER, NJ 08807-0800

Assignment: 3

Reel/Frame: 014475/0329

Recorded: 03/31/2004

Pages: 4

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignor: MERRELL PHARMACEUTICALS, INC.

Exec Dt: 03/29/2004

Assignee: OVATION PHARMACEUTICALS, INC.

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Correspondent: KATTEN MUCHIN ZAVIS ROSENMAN

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WASHINGTON, DC 20007

Assignment: 4

Reel/Frame: 016153/0943

Recorded: 01/25/2005

Pages: 8

Conveyance: SECURITY INTEREST (SEE DOCUMENT FOR DETAILS).

Assignor: Ovation Pharmaceuticals, Inc.

Exec Dt: 03/29/2004

Assignee: LASALLE BANK NATIONAL ASSOCIATION

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CHICAGO, ILLINOIS 60603

Correspondent: ANDREW H. CONNOR, ESQ.

ANNE K. BERG, ESQ.
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CHICAGO, IL 60601

Assignment: 5

Reel/Frame: 016418/0175

Recorded: 08/18/2005

Pages: 6

Conveyance: SECURITY AGREEMENT

Assignor: Ovation Pharmaceuticals, Inc.

Exec Dt: 08/10/2005

Assignee: MERRILL LYNCH CAPITAL, A DIVISION OF MERRILL LYNCH BUSINESS FINANCIAL SERVICES, INC.

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Assignment: 6

Reel/Frame: 018679/0933

Recorded: 12/26/2006

Pages: 22

Conveyance: SECURITY AGREEMENT

Assignor: Ovation Pharmaceuticals, Inc.

Exec Dt: 12/22/2006

Assignee: MERRILL LYNCH CAPITAL, A DIVISION OF MERRILL LYNCH BUSINESS FINANCIAL SERVICES, INC.

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Assignment: 7

Reel/Frame: 019881/0358

Recorded: 09/26/2007

Pages: 5

Conveyance: RELEASE BY SECURED PARTY (SEE DOCUMENT FOR DETAILS).

Assignor: LASALLE BANK NATIONAL ASSOCIATION

Exec Dt: 09/07/2007

Assignee: Ovation Pharmaceuticals, Inc.

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DEERFIELD, ILLINOIS 60015

Correspondent: KATTEN MUCHIN ROSENMAN LLP

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Assignment: 8

Reel/Frame: 022416/0565

Recorded: 03/19/2009

Pages: 6

Conveyance: RELEASE BY SECURED PARTY (SEE DOCUMENT FOR DETAILS).

Assignor: GE BUSINESS FINANCIAL SERVICES INC. FORMERLY KNOWN AS
MERRILL LYNCH CAPITAL, A DIVISION OF MERRILL LYNCH
BUSINESS FINANCIAL SERVICES INC.

Exec Dt: 03/18/2009

Assignee: Ovation Pharmaceuticals, Inc.

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Assignment: 9

Reel/Frame: 023163/8803

Recorded: 09/01/2009

Pages: 2

Conveyance: CHANGE OF NAME (SEE DOCUMENT FOR DETAILS).

Assignor: Ovation Pharmaceuticals, Inc.

Exec Dt: 03/20/2009

Assignee: Lundbeck Inc.

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